Thrombophilia Testing in Patients with Venous Thrombosis

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Background. Routine thrombophilia testing is controversial because of the low yield of positive tests, costs involved, and debate about the clinical usefulness of the data obtained from testing. Laboratory investigations are rarely done for those with superficial venous thrombosis (SVT) or isolated calf vein thrombosis (CVT) which are often not treated with anticoagulants.

Objective. To identify the incidence of markers of thrombophilia in patients with deep vein thrombosis (DVT), SVT, isolated CVT or a history of thrombosis in a referral practice.

Methods. One hundred and sixty-six patients were referred to our thrombosis unit for consultation, including patients with SVT, DVT, and preoperative patients with a previous history of SVT or DVT. Patients underwent thrombophilia screening and patients with a diagnosis of SVT or DVT were confirmed by bilateral duplex ultrasonography of all lower limb veins. Thrombophilia testing included factor V Leiden (FVL), prothrombin 20210A mutation (P2), methylene tetrahydrofolate reductase deficiency (MTHFR), fasting serum homocysteine (HC), lupus anticoagulant (LA), anticardiolipin antibodies (ACA), antithrombin deficiency (AT), protein S deficiency (PS), and protein C deficiency (PC).

Results. The incidence of any significant abnormality in patients with DVT was 27/44 (61%; 95% Confidence interval [CI], 47–76%) and 10 of these patients were positive for FVL (23%; 95% CI, 10–35%). Twelve patients with isolated CVT were seen and five had at least one abnormality (42%; 95% CI, 14–70%) including one with FVL (8%; 95% CI, 0–24%). Thirty-nine patients with isolated SVT were seen including 14 with at least one abnormality (36%; 95% CI, 21–51%) and five of these patients with SVT had FVL (13%; 95% CI, 2–23%). Nine patients with recurrent DVT were seen and five of these had at least one abnormal test (56%; 95% CI, 23–88%). Finally, 18 of the 166 patients had more than one abnormality (11%; 95% CI, 6–16%).

Conclusion. The presence of one or more markers of thrombophilia was significantly higher in this patient population compared to reports from other centres. This study identified 18/166 (10.8%; 95% CI, 6–16%) with more than one defect where life-long anticoagulation might be considered. The results in this subset of patients as well as the serious defects found in some patients with provoked DVT, isolated CVT or isolated SVT demonstrate the value of this screening program to both these patients and their blood relatives. On the other hand, this is a small series from a referral practice where the incidence of these defects is greater than one would expect in the general population. These studies are preliminary and it is not recommended that all VTE patients should be screened on the basis of the current report.

Keywords: Thrombophilia; Genetic markers; Venous thrombosis; Calf vein thrombosis; Superficial venous thrombosis.
Methods

Patient referral and duplex scan verification

Patients referred to the primary author’s practice included those with SVT, DVT, patients with venous disease, or with a personal or family history of DVT who required elective surgery and needed advice regarding the type and extent of thrombosis prophylaxis. All of these patients underwent bilateral lower limb duplex scanning and were questioned regarding past episodes of personal VTE and family history to uncover any thromboembolic events in blood-related individuals. Each patient underwent a careful thrombosis risk assessment, including specific questions regarding previous major surgery, cancer, prior myocardial infarction, past major trauma, or other serious medical disease. We also recorded medications, including oral contraceptives and hormone replacement therapy. Bilateral venous duplex ultrasonography was done using a high-resolution machine (Ultramark9-HDI, Advanced Technology Laboratories, Bothell, WA). The patients were placed in reverse Trendelenburg position at approximately a 10–20° angle to examine the common femoral, femoral, profunda femoris, great and small saphenous veins. The examination began at the level of the common femoral vein just below the inguinal ligament. The distal femoral, popliteal, and calf veins were examined, when possible, in the dependent position with a leg resting on the operator’s lap. This manoeuvre produces dilatation of the veins and improves image quality. If the patient was unable to sit, the leg was externally rotated and the test performed in the supine position. All the veins were examined in the transverse and longitudinal views.

The abnormal examination criteria that indicated DVT included: No venous Doppler signals present with respiration or augmentation manoeuvres, vein lumen filled with echogenic material, and inability to compress the vein with gentle probe pressure that was not as a result of extra vascular causes. At least two criteria were required for the diagnosis of DVT. Thrombi that extended to the popliteal vein or above were considered proximal, whereas those clots that were limited to the calf were considered distal. This technique has previously been reported and validated.6

Thrombophilia screening

Thrombophilia tests were ordered for patients based on the risk factors associated with the development of VTE at the time of their clinical evaluation. Specific indications for testing included patients with a personal or family history of VTE, women with a history of unexplained stillborns, or patients with a personal or family history of stroke or myocardial infarction.

The three genetic mutations examined included factor V Leiden, prothrombin 20210A, and methylene tetrahydrofolate reductase deficiency (MTHFR). The remaining thrombophilia markers consisted of antithrombin, protein C and S functional, and acquired antiphospholipid antibodies which included lupus anticoagulant, and fasting serum homocysteine.

The first three mutations were evaluated using a signal amplification program known as the ‘Invader method’ (Third Wave Technologies [Madison, WI]). All remaining tests involved a blood drawn using standard venous phlebotomy at Glenbrook Hospital, Glenview, IL, USA. A 2.7-mL buffered sodium citrate (9:1) vacutainer tube was used to obtain blood for protein S, C, and antithrombin levels. A chromogenic method was employed for antithrombin and protein C activity (Dade Behring [Deerfield, IL]), whereas a clotting method determined results for protein S (Biopool) and lupus anticoagulant (Dade Behring [Deerfield, IL]). Homocysteine values were obtained on a specimen of blood drawn into a 3-mL potassium EDTA (ethylene diamine tetra-acetic acid) 5.4-mL vacutainer tube. Normal homocysteine ranges were produced with an adviaventaur (Bayer™ [Pittsburgh, PA]). Finally, blood for anticardiolipin antibodies was drawn into a 5-mL serum separator (SST) vacutainer tube gel and clot activator. An enzyme immunoassay kit manufactured by Diamedix was used to evaluate ranges. These assays were all obtained either prior to the institution of anticoagulation therapy or after the patients had completed their course of anticoagulation treatment.

Table 1 shows the reference ranges for these tests and indicates what we considered to be significant abnormalities. Heterozygous MTHFR mutations were not considered abnormal since these are present in up to 30% of normal individuals.7 We also excluded borderline or mild elevations of anticardiolipin antibodies as positive test results. None of these abnormalities has been shown to be important in thrombosis management.7,8 Table 2 shows the number of patients in each group. The breakdown between significant and overall findings in various patient groups is seen in Table 3.
statistical analyses. Cross-tabulation of variables was performed and included the frequency of each abnormality. Along with exact proportions, 95% confidence intervals were calculated.

Results

The study included 166 patients with some form of thrombosis or history of thrombosis who were referred for primary or secondary VTE management over a 2-year period. This included patients referred for evaluation before an elective surgical procedure or for management of venous disease. Table 2 lists the location of thrombi as well as important historical information. A broad range of abnormal tests was seen in the various patient groups. Some patients had more than one thrombotic location and some individuals had more than one defect. We defined a personal or family history of VTE as those cases with objective evidence of a thrombosis (i.e. duplex scan, venogram, lung scan).

The results of thrombophilia testing for these same categories are seen in Tables 3–5. Forty-four patients with a DVT were seen and 27/44 (61; 95% CI, 47–76%) of these individuals had a significant abnormality. Twelve of the DVT patients shown in Table 3 had CVT only and five of these 12 (42%; 95% CI, 14–70%) individuals had a positive marker for thrombophilia. Also included in the DVT group seen in Table 3 were nine patients with recurrent DVT and five of these (56%; 95% CI, 23–89%) had a significant abnormality.

Superficial thrombosis not associated with DVT or PE was seen in 39 patients in the study, including 14 individuals with a positive marker as seen in Table 3 (36%; 95% CI, 21–51%). Five of these patients with a positive marker had a factor V Leiden defect including two homozygous and three heterozygous gene mutations. Finally, we found 18 patients with more than one defect and 14 of these individuals had a past history of thrombosis (78%; 95% CI, 59–97%). Other categories of patients with two defects included: Acute DVT 9/18 (50%; CI 95%, 27–73%), history of PE 5/18 (27%; 95% CI, 6–48%), 4/18 (22%; 95% CI, 3–41%) patients each had recurrent DVT or a family history of DVT, and 2/18 patients had SVT only (11%; 95% CI, 0–25%).

Table 5 shows the incidence of thrombophilic markers in patients with provoked vs. spontaneous clots. As expected, a greater percentage of spontaneous clots were associated with positive markers. It is interesting to note the relatively high percentage of positive markers in those with provoked clots.

Discussion

It is important for the reader to understand that we screened everyone with DVT since they were referred to us for assessment of the cause of their DVT. We even screened those with clear causes for their DVT since some of them proved to have a thrombophilia and this we felt added to the value of our assessment.

Provoked thrombosis

An interesting finding from our study is the
percentage of patients with so-called ‘provoked’ thrombosis who were found to have a thrombophilic defect. ‘Provoked events’ in this study were defined as those where venous thrombosis resulted from surgery, leg injuries, prolonged travel, oral contraceptives or hormone replacement. None of these provoked cases was due to intravenous catheters or post-partum, and the numbers are too small to break down according to type of event. Eleven of the 44 DVT patients (25%; 95% CI, 12–38%) were judged to have a provoked event. Surprisingly, five of the 11 provoked DVT patients (46%; 95% CI, 16–75%) were found to have a thrombophilia. It should be noted that this is simply an observation to alert the clinician that even though the event is provoked, a thrombophilic defect may still be present. It is for the reader to decide which of these patients needs to be screened. An spontaneous DVT was seen in 33/44 (75%; 95% CI, 62–87%) of the patients and 22/33 (67%; 95% CI, 51–83%) of these had a positive marker for thrombophilia, as seen in Table 5. Although the sample size is small and the confidence interval wide, individual patient care was potentially improved by finding these defects. While many physicians do not recommend testing those with known causes of thrombosis (provoked), if a serious defect were found, prolonged antiocoagulation may be necessary.

Isolated SVT

At least one abnormality was found in 14/39 (36%; 95% CI, 21–51%) patients with isolated SVT and three of these patients were considered to have a provoked event. The most important defects identified included one patient each with protein C, protein S, antithromophilip antibodies, and lupus anticoagulant. Two of these patients also had homozygous factor V Leiden. Most investigators treat these patients with life-long anticoagulation.9,10 To our knowledge, testing for markers of thrombophilia in those with provoked SVT has not been done and may represent one tiny contribution that is unique to this paper. We know that women of child-bearing age found to have positive markers would be at increased risk for developing thrombosis during subsequent pregnancies. This is just an observation, however, and the authors are not suggesting that every female with SVT be subjected to screening.

An interesting perspective is provided by the recently published long-term epidemiologic studies from Heit at the Mayo Clinic that showed a startling 25% of patients with acute PE presented as sudden death. Recurrent thromboembolism over 16,430 person-years of follow-up resulted in a 7-day case fatality of 16.7%. The authors concluded that routine screening of patients with a first episode of thrombosis, and long-term treatment of those with positive markers, could have lowered this death rate.11

In our study, the frequency of positive markers is higher than those reported in other studies concerning patients with VTE.7,12 However, our data concerns a relatively small patient group compared to some other

<table>
<thead>
<tr>
<th>Reason for test</th>
<th>Factor V Leiden</th>
<th>Prothrombin 20210A</th>
<th>MTHFR</th>
<th>Antithrombin</th>
<th>Protein C</th>
<th>Protein S</th>
<th>Homocysteine</th>
</tr>
</thead>
<tbody>
<tr>
<td>All DVT</td>
<td>27/44 (61%)</td>
<td>10/44 (23%)</td>
<td>6/44 (14%)</td>
<td>6/44 (14%)</td>
<td>1/44 (2%)</td>
<td>3/44 (7%)</td>
<td>2/44 (5%)</td>
</tr>
<tr>
<td>CVT only</td>
<td>5/12 (42%)</td>
<td>0/12 (0%)</td>
<td>0/12 (0%)</td>
<td>0/12 (0%)</td>
<td>0/12 (0%)</td>
<td>2/12 (17%)</td>
<td></td>
</tr>
<tr>
<td>SVT only</td>
<td>14/39 (36%)</td>
<td>5/39 (13%)</td>
<td>3/39 (8%)</td>
<td>1/39 (3%)</td>
<td>0/39 (0%)</td>
<td>1/39 (3%)</td>
<td>4/39 (10%)</td>
</tr>
<tr>
<td>Recurrent DVT</td>
<td>5/9 (56%)</td>
<td>3/9 (33%)</td>
<td>1/9 (11%)</td>
<td>0/9 (0%)</td>
<td>0/9 (0%)</td>
<td>0/9 (0%)</td>
<td>1/9 (11%)</td>
</tr>
<tr>
<td>History of DVT</td>
<td>41/73 (56%)</td>
<td>17/73 (23%)</td>
<td>9/73 (12%)</td>
<td>3/73 (4%)</td>
<td>1/73 (1%)</td>
<td>5/73 (7%)</td>
<td>1/73 (1%)</td>
</tr>
<tr>
<td>History of PE</td>
<td>16/32 (50%)</td>
<td>3/32 (9%)</td>
<td>4/32 (13%)</td>
<td>2/32 (6%)</td>
<td>0/32 (0%)</td>
<td>1/32 (3%)</td>
<td>3/32 (9%)</td>
</tr>
<tr>
<td>Family history of DVT</td>
<td>23/54 (43%)</td>
<td>11/54 (20%)</td>
<td>6/54 (11%)</td>
<td>2/54 (4%)</td>
<td>0/54 (0%)</td>
<td>1/54 (2%)</td>
<td>0/54 (0%)</td>
</tr>
</tbody>
</table>

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publications. The reader should be careful to note that the management of the patient is not necessarily influenced by the presence of factor V Leiden in the heterozygous form of this gene mutation. We found that the incidence of factor V Leiden in our study (22%) to be in agreement with other reports.13 Investigation of selected patient groups by Eichinger revealed a 20–50% incidence of FVL in patients with primary or recurrent VTE, with the highest figures being seen in women with a history of thrombosis during pregnancy or the puerperium.14 Clark has suggested that selective screening of pregnant patients with a history of thrombosis or pregnancy-related complications may identify the factor V Leiden gene in 20–40% of patients.15 Factor V Leiden has been found to be the most common defect and is seen in approximately 20% of patients developing venous thrombosis. There is a great deal of controversy regarding the significance of factor V Leiden increasing the risk of recurrent venous thrombosis compared to patients without the gene. This controversy has led some investigators to omit screening for FVL since studies have shown that a positive test for this will not change the length of anticoagulation in persons with a first DVT.2

**Calf vein thrombosis**

Two additional findings in this study are the incidence and type of thrombophilias seen in patients with CVT alone. Treatment of patients with isolated CVT or SVT is not routinely done in some centres despite the fact that significant morbidity and mortality can occur in some of these cases.16 In addition, most physicians do not measure thrombophilia markers in such patients. While there were only 12 patients in this group, two individuals had a lupus anticoagulant, which many physicians feel merits life-long anticoagulation.10 Abnormal homocysteine levels were seen in two other patients with calf thrombosis only. Many experts would advocate prophylactic vitamins to help prevent stroke, myocardial infarction, and arterial and venous thrombosis in such patients, although randomised controlled clinical trials have not been done to prove the value of this prophylaxis.17 One patient in the calf thrombosis group was heterozygous for factor V Leiden, which would not change the approach to treatment for the calf vein thrombosis but may have other implications for blood relatives, especially those who are contemplating birth control pills or hormone replacement therapy.18

The value of knowing about positive markers such as factor V Leiden, prothrombin 20210A and MTHFR in this group is less evident. However, some of these patients were of child-bearing age and developed SVT during or after a previous pregnancy. If these women were to become pregnant again, the incidence of DVT without prophylaxis during pregnancy could be higher than in the general pregnant population. We feel that thrombosis prophylaxis with anticoagulants during and following such a pregnancy may of value. Similarly, if patients who develop an SVT during pregnancy subsequently wish to use oral contraceptives to space out their pregnancies but do not know they are carrying one of these defects, they may have a much higher incidence of VTE compared to patients without a positive thrombophilia marker taking oral contraceptives.

**Multiple thrombophilic defects**

We found 18 patients in our population with more than one significant thrombophilia defect, including two patients with SVT. The incidence of recurrent venous thrombosis in patients with more than one defect has been estimated to be 70–90% according to Zwerker.9 In our opinion, the potential high thrombotic risk in these individuals would have been missed were it not our policy to test those with SVT for thrombophilias. In both of our patients, these events occurred during pregnancy and we treated both patients with anticoagulants. We also cautioned them not to take oral contraceptives since the incidence of serious VTE events is much higher than in those without defects of thrombophilia.2 Westrich has reported that the presence of multiple genetic defects was more frequent in patients developing postoperative pulmonary emboli compared to those not developing postoperative thrombosis.19 He suggested that more aggressive thrombosis prophylaxis was indicated in these individuals.
Family history of thrombosis

Also of great importance was the finding that 22% of patients with a family history of VTE had two defects. This is the same rate we found in patients with recurrent venous thrombosis where one would expect to find a marker of thrombophilia. This fact highlights the value of eliciting a careful family history in all patients, particularly those who require surgery. The most frequent observation we have made during hospital consultations on patients with a postoperative thrombosis is that no one asked them about their family history of VTE. These patients require careful attention since they are candidates for life-long anticoagulation and the most intense perioperative thrombosis prophylaxis should additional surgery be necessary.

General remarks

The fact that 61% of DVT patients had at least one abnormality may reflect the nature of our referral practice. We would be the first to caution about routine testing based on these data because the sample size in this study is very small. The wide confidence intervals of most of these findings emphasize the pilot nature of our study. On the other hand, we discovered a number of patients with potentially serious defects. It should be noted that this is a referral practice where most of the patients seen have a personal or family history of VTE. The incidence of thrombophilic defects in this group is much higher than in the general population. The data set is too small to allow a multivariate model to predict the risk of recurrent thrombosis.

We acknowledge the important work of Baglin, who pointed out that in unselected patients with a first episode of venous thrombosis, the presence of hereditary thrombophilia did not predict a recurrent event within 2 years of stopping anticoagulation. We do not have enough numbers or data to make any statement except to agree with his conclusions. As previously mentioned the small size of this study which is from a referral practice where the incidence of thrombophilia is higher than in the average clinical practice should caution the reader that it is premature to advocate routine screening of all DVT patients. However, we feel that this study does point out that even those with SVT or DVT limited to the calf may harbour a thrombophilic defect.

The nature of our data collection and the small size of this series preclude making any statement regarding the cost effectiveness of this approach. Again, a larger study needs to be done before such an analysis would be appropriate. We hope others will expand on these data and provide larger studies to validate or refute our preliminary results.

References


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